I. GENERAL DESCRIPTION: Due to inefficiencies attendant to multiple x-rays of sale horses and due to potential harm from multiple endoscopic examinations of sale horses, and for other reasons, Keeneland has established a Repository where consignors may place certain information applicable to their sale horses. **The use of the Repository is voluntary, but if used, all of the minimum required views must be on file (see attached).**

II. LOCATION: Repository content may be uploaded through the [link here](http://www.keeneland.com) or you can access it at [www.keeneland.com](http://www.keeneland.com).

III. INFORMATION TO BE ACCEPTED TO THE REPOSITORY (“REPOSITORY INFORMATION”):

A. X-rays taken within **10 days** prior to selling, and delivered to Keeneland not later than **four days prior to selling by 4:30 p.m. digital x-rays (see attached schedule).** Minimum of 36 films (see attached listing). The hip number of the horse in question must be marked on all x-rays.

B. Veterinary statement(s) concerning x-rays or endoscopic video as the consignor may choose to present which shall refer to the hip number of the horse in question. Scope videos that are submitted must be recorded no more than ten (10) days prior to the horse selling.

C. Veterinary certificates must be delivered to Keeneland no later than **four days prior to selling by 4:30 p.m. (see attached schedule).** Veterinary certificates in lieu of announcements for all horses that (i) possess any deviation from the norm in the eyes, (ii) are a “wobbler” (defined as a horse which suffers from a neurological disease caused by compression of the spinal cord and resulting in lack of balance and coordination), (iii) are two years of age or less and have undergone invasive joint surgery or surgical intervention of the upper respiratory tract, or (iv) abdominal surgery of any type, for horses of any age, that occurred in the last two calendar years; any resection of an abdominal organ (partial or complete) at any time, except to repair a ruptured bladder in a newborn foal, or (v) have been nerved, or (vi) broodmares that, within the last
two years, have undergone a caesarian section delivering a fetus, surgery involving the cervix, an urethral extension, or removal of an ovary can be placed in the Sales Repository.

D. All information should be submitted online through the link here or you access the link at www.keeneland.com.

IV. REQUIREMENTS OF CONSIGNORS (INCLUDING OWNERS):

A. Consignor must furnish Keeneland with possession of Repository Information not later than 4:30 p.m. four days prior to selling (see attached schedule). No Repository Information will be accepted by Keeneland subsequent to the above dates and times.

B. All information shall be marked by hip number.

C. Keeneland will allow consignors to place restrictions on those persons permitted to review the information which is placed in the Repository by the consignors, except that Keeneland will not permit restrictions to be placed on the use of the Repository if Keeneland believes that the restrictions are arbitrary or discriminatory. For example, a consignor may request Keeneland to limit the access to that consignor’s Repository Information to licensed veterinarians.

If a consignor chooses to limit access to Repository Information, they must notify Keeneland and include clear instructions from the consignor.

V. REQUIREMENTS OF BUYERS (INCLUDING THEIR AGENTS):

A. Each person desiring to look at Repository Information shall first register with Keeneland online at www.keeneland.com and submit a completed registration form authorizing access to the information.

B. No Repository Information shall be locally stored or otherwise removed from the digital Repository where it is being uploaded and viewed.

C. Persons reviewing the Repository Information shall not collaborate with or advise others who are not their client(s) when evaluating the Repository Information.

D. The Repository is designed for use by duly licensed veterinarians on behalf of their buyer-clients. All veterinarians seeking access to a consignor’s Repository Information must first be registered with Keeneland. Access to a consignor’s Repository Information may be denied to non-veterinarians.
LEGAL NOTICE BINDING UPON ALL PARTIES INTERESTED IN THE SALE OR PURCHASE OF HORSES DURING KEENELAND SALES:

A. The maintenance of the Repository by Keeneland shall not change any of Keeneland’s Conditions of Sale which shall continue to be binding upon all parties; rather, the Repository shall provide an opportunity to facilitate buyers’ inspection of horses which they may be interested in purchasing.

B. Each buyer, per Keeneland’s Conditions of Sale, is encouraged to inspect each horse which he may purchase including the Repository Information. As provided in the Conditions of Sale and otherwise, buyers are accepting any horse purchased with all defects except those conditions and defects specifically warranted on Keeneland’s Conditions of Sale and not as announced prior to sale.

C. Keeneland will not review the Repository Information and makes no warranty or assurance of any kind concerning the authenticity or accuracy of the Repository Information, all of which shall be the responsibility of the consignor. The knowledge of Repository Information shall therefore not be imputed to Keeneland.

D. Purchasers will be charged with knowledge of any defect that is or should be revealed by a reasonable inspection, including any defect that is or should be revealed by a review of the Repository Information, with the exception of the Consignors’ warranties per the Conditions of Sale. Those limited warranties remain effective as more fully provided for and stated in the Conditions of Sale, unless announcement is made by the auctioneer in conjunction with the sale of the horse in question. With respect to the Condition regarding injury or disease of bone structure for two-year-olds in training, any defect which will, more likely than not, materially and adversely affect the horse’s suitability for training and racing, that appears on radiographs taken within 24 hours after the session in which the horse is sold and taken before the horse leaves Keeneland’s grounds, which does not appear on the radiographs filed in the repository prior to the sale, is subject to arbitration as provided in the Conditions of Sale which may result in a return of the horse to the consignor and a refund of the purchase price.

E. In the event consignor elects to place information in the Repository, consignor warrants that consignor has deposited in the Repository all of the required views mandated by Keeneland and further warrants the authenticity of said views.
F. Furthermore, should the veterinarian responsible for the radiographs have total or part ownership in a particular horse, said ownership must be so disclosed.
KEENELAND REPOSITORY

JUNE 2020 SELECT HORSES OF RACING AGE SALE
DEADLINES FOR X-RAYS AND ENDOSCOPIC VIDEOS

<table>
<thead>
<tr>
<th>DATE SELLING</th>
<th>SCOPE VIDEO MUST BE RECORDED ON OR AFTER</th>
<th>X-RAYS MUST BE TAKEN ON OR AFTER</th>
<th>DEADLINE TO BE IN REPOSITORY</th>
</tr>
</thead>
<tbody>
<tr>
<td>TUE – JUNE 23</td>
<td>FRI – JUNE 12</td>
<td>TUE – JUNE 2</td>
<td>FRI – JUNE 19</td>
</tr>
</tbody>
</table>
KEENELAND REPOSITORY

DIGITAL REQUIREMENTS

1) All digital radiographs MUST be submitted in a machine readable DICOM 3.0 format. Accepted media will be in the form of a CD ROM, DVD ROM or other compliant DICOM storage appliance approved by Keeneland.

2) All images for a HIP MUST be included in a single DICOM study. Multiple DICOM files for a single HIP will not be accepted. All 36 required images (or 38 images for horses of racing age) must be on file as per the attached listing.

3) Deadline – All Digital x-rays will be due at the Repository no later than 4:30 PM, 4 days prior to the scheduled date.

4) DICOM tag requirements must follow the following specification:
   - Patient ID = KYYMM-XXXX
     - K = Keeneland
     - YY = Last two digits of the year
     - MM = Month of Sale
     - XXXX = Full HIP number (including leading zeroes; i.e. 0001)

   Example: Keeneland 2020 June sale of HIP number 0001
   Patient ID = K2006-0001

   - Patient Name = Horse name or DAM and last two digits of birth year for unnamed horses

   Example: WINTERS EDGE 18

   - Study Description = CONSIGNOR’S NAME

   - Study Date = DATE of X-RAYS

   NOTE: All fields should be populated in UPPER CASE lettering.

5) Letters and certificates accompanying a HIP that is submitting digital x-rays must be uploaded to repository no later than 4 days prior to the sell date.

6) View names – In order for Keeneland to display all HIPS in a consistent order during the sale, the following view names should be used to describe the digital view (see next page).
KEENELAND REPOSITORY

MINIMUM REQUIRED VIEWS

**DICOM Tag – LEFT DICOM Tag - RIGHT**

**CARPUS:**
- Lateral/Medial Oblique (30° - 40°) (Dorsolateral 35°, to Palmar Medial Oblique)
  - L Carpus_DLPMO
  - R Carpus_DLPMO
- Medial/Lateral Oblique (20° - 30°) (Dorsomedial 25°, to Palmar Lateral Oblique)
  - L Carpus_DMPLO
  - R Carpus_DMPLO
- Flex Lateral (Flexed lateral to medial)
  - L Carpus_FLM
  - R Carpus_FLM
- Third Carpal Skyline View (for horses in training)
  - L Carpus_Sky_DRow
  - R Carpus_Sky_DRow

**FETLOCK - FRONT:**
- AP elevated 15° (Dorsal, 15° elevated to palmar)
  - L FFetlock_DP
  - R FFetlock_DP
- Medial Oblique (Dorsomedial 30°, to palmar lateral oblique)
  - L FFetlock_DMPLO
  - R FFetlock_DMPLO
- Lateral Oblique (Dorsolateral 30°, to palmar medial oblique)
  - L FFetlock_FLM
  - R FFetlock_SLM

**FETLOCK – HIND:**
- AP elevated 15° (Dorsal, 15° elevated to plantar)
  - L HFetlock_DP
  - R HFetlock_DP
- Medial Oblique (Dorsomedial 30°, 15° to plantar lateral oblique)
  - L HFetlock_DMPLO
  - R HFetlock_DMPLO
- Standing Lateral to medial
  - L HFetlock_LM
  - R HFetlock_LM

**HOCK:**
- Medial/Lateral Oblique (or 15°PALMO)
  - L Hock_DMPLO
  - R Hock_DMPLO
- (Dorsomedial 65° to plantar lateral oblique)
-OR- L Hock_DMPLO R
Hock_DMPLO (Plantar to dorsomedial oblique) L Hock_CrCa R
Hock_CrCa
Off Center AP (Slightly lateral) L Stifle_CaLCrMO R
Stifle_CaLCrMO (Dorsolateral 10° to plantar medial)
Lateral to Medial R L Hock_LM
Hock_LM

STIFLE:
Lateral to Medial L Stifle LM R Stifle
LM
20° PALMO (Posterior lateral 20° to anterior L Stifle_CaLCrMO R Stifle_CaLCrMO
medial oblique must include medial femoral
condyle in its entirety).
PA (Posterior/Anterior) L Stifle_PA R Stifle PA
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