

REMINDER: A current certificate of workers comp and general liability insurance must be submitted with the application



Keeneland Association, Inc.
 4201 Versailles Road
 P.O. Box 1690
 Lexington, KY 40588-1690
 859 254-3412 Administrative Office
 800 456-3412 Administrative Office
 800 456-9896 Racing Office
 859 288-4176 Fax
 kmckee@keeneland.com

STALL APPLICATION

2019 SPRING MEETING • APRIL 4-26, 2019
 Stall applications are due no later than Monday, March 4, 2019

Please check box for bedding preference Straw Shavings

| | Name of Horse | Sex | Age | Mdn | Alw | Claiming Price | Distance | | Owner Information |
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PLEASE READ AND SIGN ON REVERSE SIDE

Trainer Information *PLEASE PROVIDE ALL INFORMATION (Stall allocation information will be sent to the email address provided)

Name _____ E-mail _____

Permanent Address _____ KHRC Lic.# _____

Cell Phone () _____ Other Phone () _____ Fax Number () _____

Keeneland Association, Inc. Stall Application, Revocable License, Release and Waiver of Liability Agreement

A valid signature on this document indicates that the undersigned hereby agrees to the following terms and conditions in consideration of the permission of the Keeneland Association, Inc. ("Keeneland") for the stabling of horses.

The Conditions for Stall Allocations must be abided by as follows:

1. License. If stalls are allocated to the undersigned ("Trainer"), Trainer shall then be granted a revocable license to use the stalls allocated to the Trainer, including the barn and area immediately outside the stalls ("Licensed Premises") pursuant to the terms of this agreement ("Agreement").

2. Rules and Regulations. Trainer will abide by all rules and regulations of Keeneland, including, without limitation, House Rules (including those relating to TCO2 or "Milkshake" testing), or other rules, and the rules and regulations of the Kentucky Horse Racing Commission ("KHRC") ("KHRC Regulations"), or applicable law, at any time adopted or as they may be amended. Trainer and Owner(s) shall be responsible for obtaining and becoming familiar with such rules and regulations.

3. Parties. This Agreement will be effective with regard to the stabling at Keeneland during and/or participation in the race meeting specified on the opposite side hereof and Trainer's participation in any and all other race meetings and related activities and the use by Trainer of stall space. For purposes of this Agreement, whenever the word "Trainer" is used herein, it shall include (i) the trainer of horses located at Keeneland (and if trainer is an agent/assistant to any trainer, the principal for whom he is agent), and (ii) all Owner(s) of horses controlled by Trainer, and their heirs, representatives, successors, next of kin, entity and assigns. For purposes of this Agreement, the word "Owner" shall include the owner(s) of the horses located at Keeneland. Provided, however, that the rights and benefits of the Trainer under this Agreement are personal and no such right or benefit will be subject to voluntary or involuntary alienation, assignment or transfer by Trainer. Trainer covenants, and by signing below affirms and verifies, that (i) the undersigned executes this Agreement as agent for the Owner(s), (ii) the Owner(s) have agreed to the terms and conditions of this Agreement, and (iii) as affirmation of the Owner(s)' agreement, the undersigned will deliver the Owner(s)' written consent and agreement to such conditions upon request of Keeneland. Trainer will indemnify and hold Keeneland harmless from and against any claim or cause of action (including any expense incurred therewith, including reasonable attorneys' and other fees) that may be asserted by or on behalf of any person which is inconsistent with the release and indemnification provisions set forth in the foregoing paragraphs. This Agreement will be governed by, interpreted and enforced in accordance with the laws of the Commonwealth of Kentucky.

4. Cancellation of Races. Keeneland reserves the right to cancel any race, without notice, at any time prior to the actual running thereof, without liability, except for the return of fees as required by the KHRC Regulations. Nominations or the making of any entry to any of the Races is received with the understanding that Keeneland reserves the right to refuse, cancel or revoke any nomination or entry or the transfer thereof for any reason and without notice.

5. Fees. Trainer agrees to pay all monetary obligations owed as a result of participating in racing including, without limitation, jockey fees, entry fees, nomination fees, fees for the administration of furosemide, and such other fees as may be required under the KHRC Regulations and/or Keeneland rules, including without limitation House Rules.

6. Default. Should the Trainer, (i) fail to pay any sum payable by Trainer as herein provided, or any part thereof, or (ii) fail to keep and perform any of the other non monetary covenants, agreements or conditions on its part to be kept and performed hereunder within twenty (20) days of written notice thereof, or (iii) suffer any execution, attachment or other order of any court to be issued upon or against the interest of Trainer in this Agreement; or (iv) make an assignment for the benefit of creditors or suffer or permit a petition in bankruptcy to be filed by or against it; or (v) violate any provision of this Agreement, the Kentucky Revised Statutes or the Kentucky Administrative Regulations regarding Thoroughbred racing; or (vi) suffer or permit to occur any circumstance or event which is specifically declared to be an "Event of Default" under any other Section of this Agreement; any one of the same shall be an "Event of Default" hereunder. If an Event of Default occurs under this Agreement, Keeneland shall have all such rights and remedies as are provided by law or in equity in respect of such default including, at Keeneland's election, the right to terminate this Agreement and/or reenter the Licensed Premises and recover possession thereof with or without terminating this Agreement and in either event Keeneland shall be entitled to (1) remove the horses from the Licensed Premises; (2) relet or relicense the Licensed Premises or any part(s) thereof, upon such terms and conditions, including license payment, as Keeneland may deem appropriate for Keeneland's own benefit and account; (3) recover from Trainer as damages resulting to Keeneland from Trainer's default hereunder an amount equal to the sum of (i) all reasonable costs, fees, charges and expenses incurred by Keeneland in connection with such reentry, repossession, dispossession and relicensing or reletting and (ii) all unpaid license payments and all other unpaid charges required to be paid hereunder by Trainer to Keeneland. It is hereby expressly provided and understood that Trainer's obligations and liability for damages as set forth herein shall be all inclusive and shall survive such termination, re-entry, repossession, dispossession, relicensing and reletting; and (4) impose certain other penalties and fines at Keeneland's discretion.

7. Tack Rooms. Tack rooms will be allocated to horsemen based on the number of stalls utilized.

8. Allocations. Allocations of all stall space are made only with the agreement of Trainer that Keeneland reserves to itself the exclusive right, in its sole discretion, to enter, modify, alter or change the physical condition or use of any of its facilities; that the permission granted herein to Trainer to use Keeneland's facilities is solely for purposes incidental to racing, does not constitute a lease of such facilities and Keeneland maintains the sole interest in and exclusive control of its premises and facilities; and that Keeneland reserves to itself the exclusive right and sole discretion to reduce or to totally eliminate the number of stalls assigned and/or change the location of stalls assigned to the Trainer.

9. Revocation. Trainer agrees that the license granted herein to enter on Keeneland grounds and to use Keeneland facilities is subject to revocation, with or without cause, and in the sole and exclusive discretion of Keeneland. Trainer will vacate stalls and move all horses, equipment and personnel, upon request, from Keeneland property within a reasonable time as determined by Keeneland. A violation of the KHRC Regulations, conditions or rules or the conditions, rules and regulations of Keeneland or the creation, in whole or in part, by Trainer of any condition that may interfere with the safe and efficient operation of its business by Keeneland or the termination of the Trainer's agency relationship with the Owner(s) listed on the reverse side hereof, shall, in each case, subject this license to immediate revocation exercised at Keeneland's sole and exclusive discretion, without any prior notice.

10. Bedding. All bedding used in the stalls must be approved by Keeneland. Further, Trainer agrees to notify Keeneland by notation on the reverse side, where indicated, whether Trainer will use straw or shavings for bedding. Trainer may change the type of bedding used only with Keeneland's approval.

11. Shoes: House Rule. Front Shoe (Dirt) The following shall not be used on the front shoes of thoroughbred horses while racing or training on any racing surface: 1) shoes that have toe grabs: 2) bends 3) jar calks 4) stickers 5) any other traction device worn on the front shoes. Horses may wear plates with a height no greater than two (2) millimeters on front shoes while racing

or training. **Hind Horse Shoes (Dirt)** Any hind shoe with a turndown of more than one-quarter inch (1/4") will not be allowed on the dirt course. **Front AND Hind Shoes (Turf)** Only Queen's Plate or Queen's Plate XT will be allowed on the turf course.

12. Safety Helmets and Vests. All individuals mounted on a horse or stable pony in the stall areas and on Keeneland premises shall wear a properly secured safety helmet at all times, which helmet shall have a tag, stamp or similar identifying marker indicating that it meets one of the following safety standards: (a) ASTM International Standard, ASTM F1163-04a; (b) British Standards, BS EN 1384:1997 or PAS 015:1999; or (c) Australian/New Zealand Standard, AS/NZS 3838:2006. Additionally, all individuals mounted on a horse or stable pony in the stall areas and on Keeneland premises shall wear a properly secured safety vest at all times which shall have a tag, stamp or similar identifying marker indicating that it meets one of the following safety standards: (a) British Equestrian Trade Association (BETA):2000 Level 1; (b) Euro Norm (EN) 13158:2000 Level 1; (c) ASTM International Standard, ASTM F2681-08; (d) Shoe and Allied Trade Research Association (SATRA) Jockey Vest Document M6 Issue 3: or (e) Australian Racing Board (ARB Standard 1.1998).

13. Dogs. All dogs must be kept inside tack rooms during training and racing hours, or if out, must be leashed and in hand at all times. Trainer may have no more than two dogs in the stall area at any time. Under no circumstances may dogs be tied in shedsrows or about the barns during training and racing hours. Dogs tied in shedsrows at hours other than the above mentioned must be on a fixed, short leash to permit free access and passage of Keeneland's security and personnel around the barn area. No running chains or lines will be permitted. Trainer must ensure that dogs do not interfere with the business of veterinarians, blacksmiths, feedmen, track security, deliveries and barn maintenance personnel.

14. No heat lamps. Heat lamps are prohibited at all times in the stall areas.

15. Hoses. All hoses in the barn area must be fitted at all times with a working nozzle, such that water will not flow from a hose unless the nozzle is engaged.

16. Washing Machines. No washing machines may be brought in the stall areas. An equine laundry facility is available between Barn 42 and the Maintenance Building, and may be utilized by Trainer and staff as available.

17. Condition of Stall Area. Trainer will keep and maintain the Licensed Premises and area surrounding the Licensed Premises, including the barn, stalls and area outside the stalls, in good order and sanitary condition, at Trainer's sole expense. Trainer shall be responsible for trash removal and cleaning of walkways outside the stalls. Trainer shall not place any trash (e.g. plastic, rope, cans, etc.) in the muck. If consistent trash violations occur, Trainer will have to pay for additional muck removal. Trainer shall make, at its own expense, all repairs otherwise required to be made by Keeneland if they are necessitated by the negligence of Trainer. Trainer shall comply with all laws and regulations relating to the stalls and their use.

18. Licenses. Trainer shall ensure that each individual working in the stall area under the direction of Trainer are licensed as required by the laws and regulations of the Commonwealth of Kentucky. Failure to fully comply with this provision could result in the immediate termination of this Agreement.

19. Medication Compliance. The parties agree that all horses on Keeneland grounds may, at Keeneland's discretion and direction, be subject to inspection which may include, at Keeneland's discretion, the taking of a blood, urine, saliva or other specimen, which may be tested by Keeneland or its designees for the purposes of insuring compliance with medication rules applicable in Kentucky and/or for purposes of insuring the integrity of racing. Further, the parties acknowledge that per 810 KAR 1:018, furosemide on race day may only be administered by a veterinarian approved by the KHRC to perform the administration. The cost of having furosemide administered on race day shall be as set by the KHRC Regulations. If Trainer's horse(s) are eligible and are to receive furosemide on race day, fees sufficient to cover the cost of the furosemide administration are required to be deposited with the horsemen's bookkeeper by 12:00 p.m., noon, on the day of the race. Keeneland shall not have responsibility for the proper administration of race day furosemide, which shall be administered in accordance with the KHRC Regulations and applicable law.

20. Search and Seizure. Trainer expressly grants to Keeneland the right, without prior notice, to search the person, or to order and search the stall area, rooms, lockers, vehicles and automobiles and any other area of the Keeneland premises occupied Trainer, whether locked or unlocked, and to seize any illegal or unauthorized drugs, medication, mechanical devices, or other prohibited, unsafe or cruel items.

21. Intellectual Property. Keeneland reserves to itself the exclusive right to produce, exhibit, use or dispose of motion pictures, television programs or other images or authorize or license others to make, exhibit, use or dispose of motion pictures, television programs or other images of horse races and related events and the names of owners, trainers, or horses involved in events or activities, occurring prior to, during and subsequent to the running of races on Keeneland premises. In receiving permission to use the Keeneland premises, or in making an entry in or participating in any race on the Keeneland premises, Trainer on his behalf and as agent of the Owner(s) hereby grants consent to be photographed and to have the Owner(s)'s horses photographed in any motion picture, television program or other image without remuneration to Trainer or to the owner(s) and hereby grants to Keeneland permission to use and exhibit such motion pictures, television or other images and names for advertising purposes, purposes of the trade or other use as Keeneland shall determine in its sole and exclusive discretion.

22. Release and Indemnification. All KHRC Licensees, including but not limited to Keeneland, owners, trainers, jockeys, exercise riders and grooms ("Licensees"), participating in stabling, racing, training and related activities at Keeneland recognize that hazards and risks inherent in such activities may cause the injury or death of horses. Therefore, in consideration of participating in stabling, racing, training and related activities at Keeneland, **all Licensees assume the risks of participating in stabling, racing, training and related activities at Keeneland, and release, hold harmless and covenant not to sue all other Licensees so participating for (i) negligence which causes or contributes to loss, loss of use, injury or damage to horses while on the premises of Keeneland and (ii) negligence which causes or contributes to personal injury or property damage, including but not limited to loss, loss of use, injury or damage to horses arising from the use of track and grass fields ("gallops")** owned or controlled by Keeneland, whether arising from alleged acts or omissions of a Licensee and its agents or employees, the condition of the premises of Keeneland, or any other cause. Except as provided above, all Licensees participating in racing, training and related activities at Keeneland shall be responsible for their own acts and omissions and those of their agents and employees to the same extent as provided by law.

The foregoing provisions shall be construed in a manner consistent with the limitations set forth herein to be as broad and inclusive as permitted by the laws of the Commonwealth of Kentucky and the KHRC regulations, and shall be binding upon Trainer, his successors or assignees. For purposes of this Agreement, Keeneland shall mean and include Keeneland and its officers, directors, agents, employees, contractors, servants and licensees. In the event of any inconsistency between this Agreement and the KHRC Regulations, the KHRC Regulations shall control. Further, these provisions will be deemed to be amended to the extent of, and to be in compliance with, any amendment to the KHRC Regulations.

23. Notification. After submitting this application for stalls, the undersigned agrees to notify Keeneland promptly of any changes in stall requirements. After confirmation of stall allocation, the undersigned agrees to notify Keeneland immediately of any major changes in shipping plans.

24. Coggins/Health Certificate Prior to Entry. All horses, including lead ponies, seeking entrance onto Keeneland grounds, must have 1) a current negative Coggins test dated less than one year prior to the date of arrival on Keeneland grounds; 2) a Certificate of Veterinary Inspection ("CVI"), which certificate has been signed and dated within seventy-two (72) hours prior to arrival by a licensed veterinarian; and 3) record of vaccination against Equine Herpes Virus Type 1 (EHV-1) by a licensed veterinarian a minimum of fourteen (14) days, and no more than one hundred twenty (120) days, prior to entry onto Keeneland grounds. Once a horse receives the initial EHV-1 vaccination, such horse only needs to be re-vaccinated every one hundred twenty (120) days. The minimum fourteen (14) day vaccine requirement applies to horses that had NOT been vaccinated for EHV-1 within the past one hundred twenty (120) days. The CVI shall indicate the date of vaccination, the specific vaccine product, the vaccine lot number, and the vaccine expiration date used to vaccinate each horse. Trainers are asked to maintain a temperature chart on all horses under the control of Trainer, and report any horse whose rectal temperature exceeds 102° F to their veterinarian and to Keeneland. These requirement(s) are subject to change.

25. Insurance. Trainer shall maintain at all times general liability insurance with coverage no less than \$1,000,000 per occurrence, and \$2,000,000 in the aggregate. Trainer shall also maintain at all times Workers' Compensation Insurance covering all personnel employed as required by the Statutes and Administrative Regulations of the Commonwealth of Kentucky. Trainer shall, prior to admission to Keeneland's facilities and at any time upon request by Keeneland, furnish a certificate(s) evidencing proof of insurance coverage in accordance with the requirements set forth herein. Responsibility for the maintenance of appropriate horse mortality or other equine insurance vests with the Trainer and/or Owner(s), as the case may be as agreed between those parties, regarding which Keeneland shall have no obligation. Consultation with a competent insurance advisor is strongly recommended. Failure to maintain adequate insurance may subject Trainer and/or Owner(s) to multiple and substantial risks, including being excluded from Keeneland's premises. All certificates of insurance shall provide that Keeneland shall receive at least thirty (30) days advance notice by the insurer of any cancellation or material change in coverage. Owner(s) and Trainer indemnify and hold Keeneland harmless against any claims, liabilities, judgments, or costs (including attorney fees) arising out of the Trainer and/or Owner(s)'s failure to obtain insurance as appropriate and as may be required by law.

26. Investigative Report. In making this application for stalls or to otherwise participate in Thoroughbred racing, it is understood that investigative reporting may be made regarding Trainer, which information which may be applicable for purposes of ensuring compliance with KHRC Regulations, applicable law and investigating Trainer's character.

27. Stabling and Training Rules. Training on the Keeneland track will be allowed only at such times, if any, in accordance with any instructions and directions regarding training activities as may be determined by Keeneland. Applications for stall allocations are received only with the understanding that Keeneland reserves the right to refuse, cancel or revoke any stall application or the transfer thereof for any reason and without notice to Trainer.

28. Ban on Horse Slaughter. Any Owner or Trainer based at Keeneland Association who sells a horse for slaughter that was previously stabled at Keeneland Association may have his/her stalls permanently revoked.

29. Assignment/Subletting. Trainer shall not, without the prior written consent of Keeneland, (1) assign the use of the allocated stalls, sublet or sublicense the Licensed Premises or any part thereof; (2) permit any assignment or other transfer of this Agreement or any interest hereunder by operation of law; or (3) permit the use of the Licensed Premises by any person other than Trainer and its partners, employees, invitees and licensees ("Sublease, Sublicense, Transfer or Use"). All of Trainer's obligations under this Agreement will remain in full force and effect regardless of any portion of the Licensed Premises being subleased, unless otherwise agreed in writing by Keeneland and Trainer. If Trainer is in default under this Agreement, Trainer shall not be permitted to allow the use of the Licensed Premises by any person absent Keeneland's prior written consent. Any Sublease, Sublicense, Transfer or Use made without Keeneland's prior written consent shall, at Keeneland's option, be null, void, and of no effect. Any attempt to Sublease, Sublicense, Transfer or Use the Licensed Premises without Keeneland's prior written consent shall constitute an event of default under this Agreement.

30. Entire Agreement. This Agreement contains all the terms and conditions between Keeneland and Trainer relating to the matters set forth herein and no prior or contemporaneous agreement or understanding pertaining to the same shall be of any force or effect, except any such contemporaneous agreement specifically referring to and modifying this Agreement signed by both parties. This Agreement may not be modified except in writing signed by both parties.

31. Governing Law/Jurisdiction and Venue/Waiver of Jury Trial. This Agreement will be governed by and construed in accordance with the internal laws of the Commonwealth of Kentucky (without giving effect to its laws regarding conflicts of laws). The parties hereby agree that jurisdiction and venue shall be the Fayette Circuit Court, Commonwealth of Kentucky. The parties also agree to waive any rights they may have to a trial by jury.

32. WARNING: UNDER KENTUCKY LAW, A FARM ANIMAL ACTIVITY SPONSOR, FARM ANIMAL PROFESSIONAL OR OTHER PERSON DOES NOT HAVE THE DUTY TO ELIMINATE ALL RISKS OF INJURY OR PARTICIPATION IN FARM ANIMAL ACTIVITIES. THERE ARE INHERENT RISKS OF INJURY THAT YOU VOLUNTARILY ACCEPT IF YOU PARTICIPATE IN FARM ANIMAL ACTIVITIES.

To be Signed by Owner(s)/Trainer

BY SIGNING THIS AGREEMENT, ELECTRONICALLY OR OTHERWISE, THE UNDERSIGNED HEREBY CERTIFIES THAT HE HAS READ AND UNDERSTANDS AND AGREES TO ALL TERMS AND CONDITIONS AS SET FORTH IN THIS AGREEMENT, INCLUDING ALL RELEASE PROVISIONS. IF SIGNED BY TRAINER, TRAINER REPRESENTS AND WARRANTS HE IS AUTHORIZED TO AND DOES EXECUTE THIS AGREEMENT ON BEHALF OF HIMSELF AND ALL OWNER(S) OF HORSE(S) UNDER TRAINER'S CARE, AND THAT TRAINER HAS PROVIDED A COPY OF THIS AGREEMENT TO EACH OF SUCH OWNER(S), WHO UNDERSTAND THE TERMS AND CONDITIONS OF THIS AGREEMENT AND ARE BOUND THEREBY. THE UNDERSIGNED HAS VOLUNTARILY SIGNED THIS AGREEMENT AND NO ORAL REPRESENTATIONS, STATEMENTS OR INDUCEMENTS APART FROM THIS AGREEMENT HAVE BEEN MADE. HORSES SHIPPED TO KEENELAND WITHOUT APPROVAL WILL NOT BE UNLOADED OR PERMITTED. IF ALLOTTED STALLS, THERE WILL BE NO SUBSTITUTION OF HORSES WITHOUT CONSENT OF THE KEENELAND RACING SECRETARY.

Dated this _____ day of _____, 20_____.

To Be Signed By: Trainer/Owner(s)